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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lys et al.

Serial No. 09/213,581

Filed: December 17, 1998

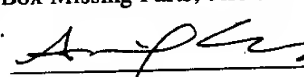
For: KINETIC ILLUMINATION SYSTEMS  
AND METHODS

Art Unit: 2821

Atty Docket: CKC-012.07

CERTIFICATE OF MAILING

I Ariel Collazo hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents Washington, D.C. 20231, on May 5, 1999.

  
Ariel Collazo

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

Attached is an executed Verified Statement Claiming Small Entity Status and a Declaration for the above-referenced application. Also enclosed is a copy of the Notice to File Missing Parts and a check totaling \$ 1,064.00.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448. Two originally-executed copies of this form are being submitted.

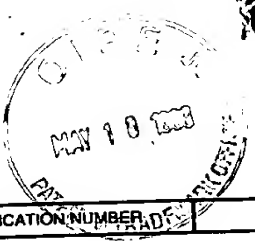
Respectfully submitted,

May 5, 1999

Date



Charles H. Cella  
Reg. No. 38,099



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/213,581 12/17/98 LYS

I CKC-012.07

CHARLES H CELLA  
 PATENT GROUP  
 FOLEY HOAG & ELIOT  
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 BOSTON MA 02109-2170

0202/0115

3-15-99  
 8-15-99

NOT ASSIGNED

2821

DATE MAILED:

01/15/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 2,128.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1,238, including any multiple dependent claim fees, are required.

\$ 312 for 4 independent claims over 3.

\$ 666 for 37 dependent claims over 20.

\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
 See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE.

05/11/1999 00000035 09213501

01 FC:201 340.00  
 02 FC:205 65.00  
 03 FC:203 333.00  
 04 FC:202 156.00  
 05 FC:204 130.00